

JAMES C. WORTHINGTON, SR.  
Worthington Law Firm  
First Trust Centre, Suite 610 North  
200 S. Fifth Street  
Louisville, Kentucky 40202  
(502) 371-1193  
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## Legal Career

February 2010 to Present  
Worthington Law Firm  
Louisville, Kentucky

Lawyer-Owner. All aspects of estate and trust planning, administration, and related controversies, including mediation; tax planning and controversy; and business organization, re-organization, disposition, and succession.

June 2005 to February 2010  
Stock Yards Bank & Trust Co.  
Louisville, Kentucky

Vice President and Wealth Advisor/Estate Planning. Responsible for administration of all decedents' estates as well as trusts and estates holding special assets or involving complex relationships; co-chair of Fiduciary Oversight Committee overseeing encroachments and other non-investment discretionary decisions; responsible for administration of life insurance trusts; worked with clients, their officers, and their professional advisors to achieve the client's estate and financial planning goals.

September 2000 to June 2005  
Stites & Harbison, PLLC  
Louisville, Kentucky

Counsel in the Trusts & Estates Service Group. Estate planning and administration, business succession planning, estate and trust litigation, and charitable planning for donors and non-profit organizations.

August 1992 to August 2000  
Poe, Hoof & Reinhardt  
Durham, North Carolina

Associate ('92 to '97) and Partner ('98 to '00). Practice concentration in estate planning and administration, business formation and planning, and real estate transactions. Litigation experience including mediations, arbitrations, and jury and bench trials as first and second chair.

## Education

LL.M. in Taxation, 2011  
University of Alabama School of Law  
Tuscaloosa, Alabama  
Graduated with high honors

J.D., 1992  
Duke University School of Law  
Durham, North Carolina  
Research Editor, Duke Environmental Law & Policy Forum; American  
Jurisprudence Award in Constitutional Law

B.S., 1987  
Washington University  
St. Louis, Missouri  
Graduated *magna cum laude* in Mechanical Engineering

## Bar Admissions

Kentucky  
North Carolina  
Fourth Circuit Court of Appeals, M.D.N.C., E.D.N.C.

## Professional Activities

American College of Trust and Estate Counsel  
Elected Fellow (March 2014)  
Technology in the Practice Committee, Member  
Practice Committee, Member

Kentucky Bar Association  
Probate and Trust Law Section, Current Chair  
Probate and Trust Law Section Legislative Committee, Member  
Taxation Section, Member

University of Kentucky Midwest/Midsouth Estate Planning Institute  
Program Steering Committee Member  
Speaker for Annual Kentucky Case Law Update, 2005 - present

#### American Bar Association

Real Property, Trust & Estate Section, Member  
Business Planning Group, Member  
Non-tax Estate Planning Considerations Group, Member  
Taxation Section, Member  
Individual and Family Taxation Committee, Member  
Law Practice Division, Member  
Solo and Small Firm Forum, Member  
Estate Planning & Administration for Business Owners, Farmers &  
Ranchers Committee, Former Vice-Chair  
Probate and Fiduciary Litigation Committee, Former Member

#### Civic Activities

##### National Association of Workforce Boards

Member, Board of Directors, May 2015 – Present

##### 55,000 Degrees

Member of Founding Board of Directors  
Finance Committee, Former Chair

##### Kentuckiana Works, Inc.

Chair of the Board, 2009 – August 2012  
Program Oversight Committee, Chair, 2005-2009  
Board of Directors, 2001 – June 2013

##### Family & Childrens Place

Board of Directors, Former Member  
Finance & Personnel Committee, Former Member

##### Home of the Innocents

2005 & 2006 Childfriend Breakfast Committee, Chair  
Resource Development Committee, Former Member

##### Louisville Zoo Foundation

Bequest & Planned Giving Council (no longer active), Member

##### Focus Louisville, Spring 2001

##### Toastmasters International, CTM Certificate in Public Speaking