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Worthington Law Firm
First Trust Centre, Suite 610 North
200 S. Fifth Street
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PRESENTATIONS AND PUBLICATIONS
(2001 – Present)

2017

Panelist, "Facts and Forms: Common Factual Scenarios and Document Drafting Tips and Traps," 44th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 28, 2017

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 44th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 28, 2017

Author, "Advising Clients When Little Time Remains for Planning," *Estate Planning*, Summer 2017

Speaker, "Probate Boot Camp," National Business Institute, Dayton, Ohio, June 13, 2017

Speaker, "Client Security: Old and New Ideas," ACTEC Southern Regional Meeting, Louisville, Ky., May 21, 2017

Speaker, "Estate Planning and Administration: The Complete Guide," National Business Institute, Lexington, Ky., May 8, 2017

Speaker, "Estate Planning for Short Life Expectancies," National Business Institute, National Teleconference, March 22, 2017

Speaker, "Probate: Everything You Need to Know," National Business Institute, Louisville, Ky., Feb. 13, 2017

2016

Author, "Client Security," *Attorney at Law Magazine Kentucky*, Vol. 4, No. 5, December 2016

Author, "Back to Basics: Storage of Documents, Beneficiary Forms, and Vested Interest Trusts," *Attorney at Law Magazine Kentucky*, Vol. 4, No. 4, October 2016

Speaker, "Trust Basics in Estate Planning and Probate," Kentucky Law Update, Louisville, Ky., Oct. 6, 2016

Speaker, "Estate Planning: Portability, Basis, Income and Capital Gains Tax, and More," National Business Institute, Louisville, Ky., Sept. 26, 2016

Author, "Disruption and Estate Planning, *Attorney at Law Magazine Kentucky*, Vol. 4, No. 3, August 2016

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 43rd Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 29, 2016

Author, "Continued Need for Trusts in a Post-Tax Estate Environment," *Attorney at Law Magazine Kentucky*, Vol. 4, No. 2, June 2016

Panelist, "Securing Client Data: Presentation to Joint Meeting of the Practice and Technology in the Practice Committees," ACTEC Summer Meeting, Boston, Mass., June 17, 2016

Author, "Digital Assets: Twenty-First Century Challenges," *Attorney at Law Magazine Kentucky*, Vol. 4, No. 1, April 2016

2015

Speaker, "Top Estate Planning Techniques," National Business Institute, Louisville, Ky., Dec. 9, 2015

Author, "To Probate or Not," *Attorney at Law Magazine Kentucky*, Vol. 3, No. 5, October 2015

Speaker, "Probate Update (including Revised Uniform Fiduciary Access to Digital Assets Act)," Bluegrass Estate Planning Council, Lexington, Ky., Sept. 1, 2015

Author, "Limits on Powers of Attorney," *Attorney at Law Magazine Kentucky*, Vol. 3, No. 4, August 2015

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 42nd Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 16, 2015

Speaker, "Legal and Financial Planning for VA Benefits," Southern Indiana Estate Planning Council, New Albany, In., Jan. 13, 2015

2014

Speaker, "Planning for a Full or Partial Outright Sale or Gift," National Business Institute Estate Planning for Farmers Seminar, Lexington, Ky., Nov. 10, 2014

Speaker, "Early Experiences with Kentucky's Almost Uniform Trust Code," Louisville Estate Planning Council, Louisville, Kentucky, October 22, 2014

Speaker, "The Kentucky Uniform Trust Code: Selected UTC Provisions and Kentucky Law Changes," Kentucky Law Update, Lexington, Ky., October 2, 2014

Speaker, "Understanding Estate, Gift and Trust Taxation" and "Using Revocable Trusts," Foxmoor Continuing Education's "The Complete Trust Course," Louisville, Ky., Aug. 20, 2014

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 41st Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 24, 2014

2013

Speaker, "Planning for a Full or Partial Outright Sale or Gift" & "Planning for a Gradual Transfer within the Family," National Business Institute Estate Planning for Farmers Seminar, Louisville, Ky., Dec. 10, 2013

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 40th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 26, 2013

2012

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 39th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 26, 2012

2011

Speaker, "Kentucky Probate & Trust Update 2011," Kentucky Law Update, Louisville, Ky., December 1, 2011

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 38th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 21, 2011

2010

Author, "The Conflict Between a Lawyer's Duty to the Client and the Statutory and Regulatory Standards for Tax Practitioners," *KBA Bench & Bar*, November 2010

Speaker, "Kentucky Probate & Trust Update 2010, Including House Bill 188," Kentucky Law Update, Louisville, London, and Prestonsburg, Ky., September 3 and October 6 & 20, 2010

Speaker, "Kentucky Probate Law Update," Bluegrass Estate Planning Council, Lexington, Ky., September 7, 2010

Speaker, "Kentucky Estate Planning and Administration Case Law & Legislative Update," 37th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 22, 2010

Moderator, "Molding Estate Plans to Changed Circumstances," Kentucky Bar Association Convention, Lexington, Ky., June 16, 2010

Speaker, "Estate Planning," Retirement Planning Seminar for Kentucky National Guard members, Frankfort, Kentucky, March 23, 2010

Speaker, "Tax Ethics," Louisville Estate Planning Council, Louisville, Ky., March 16, 2010

Speaker, "Current Estate Planning Issues," Bluegrass Estate Planning Council, Lexington, Ky., January 5, 2010

2009

Speaker, “Probate Law Update,” Kentucky Law Update, Louisville, Ky., December 4, 2009

Panelist, “Charitable Entrepreneurism,” Seminar sponsored by The Cure Starts Now, Cincinnati, Ohio, November 18, 2009

Speaker, “Tax Ethics,” Estate and Tax Seminar Sponsored by Stock Yards Bank & Trust Co., Jeffersonville, In., October 29, 2009

Speaker, “Kentucky Estate Planning Case Law & Legislative Update,” 36th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 23, 2009

Panelist, “Financial Exploitation of the Elderly,” Kentucky Bar Association Convention, Covington, Ky., June 11, 2009

2008

Speaker, “Kentucky Estate Planning & Trust/Fiduciary Law Update,” 35th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 25, 2008

2007

Speaker, "Understanding Tax Procedures to Avoid Problems Later," National Business Institute Estate Administration Seminar, Louisville, Ky., November 13, 2007

Panelist, “Fiduciary, Legal & Investment Issues Regard Non-Profits,” Estate and Tax Seminar Sponsored by Stock Yards Bank & Trust Co., Jeffersonville, In., October 25, 2007

Speaker, “Kentucky Estate Planning Case Law & Legislative Update,” 34th Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 20, 2007

Speaker, “Kentucky Fiduciary Law Case & Legislative Update,” 34th Midwest/Midsouth Estate Planning Institute Pre-Institute Program, Lexington, Ky., July 19, 2007

Panelist, “Estate Litigation: What Every Attorney Needs to Know About Probate & Estate Planning,” KBA Convention, Louisville, Ky., June 22, 2007

Speaker, “Fiduciary Law Update,” Louisville Estate Planning Council, Louisville, Ky., May 15, 2007

Speaker, "The Probate Process from Start to Finish in Kentucky," National Business Institute, Louisville, Ky., April 19, 2007

Speaker, “Indiana Fiduciary Law Update,” Southern Indiana Estate Planning Council, Jeffersonville, In., April 10, 2007

Panelist, “An Historical Look at Principal & Income Acts and Their Impact on Trust Investing,” Bluegrass Estate Planning Council, Lexington, Ky., April 3, 2007

Speaker, “Current Issues in Fiduciary Duty, Including the Prudent Man Rule and the Prudent Investor Act,” Estate Planning Council of Louisville, Louisville, Ky., January 18, 2007

2006

Speaker, “Understanding Tax Procedures to Avoid Problems Later,” National Business Institute, Louisville, Ky., November 30, 2006

Panelist, “An Historical Look at Principal & Income Acts and Their Impact on Trust Investing,” Estate and Tax Seminar Sponsored by Stock Yards Bank & Trust Co., Jeffersonville, In., October 26, 2006

Speaker, “Kentucky Estate Planning Case Law & Legislative Update,” Louisville Estate Planning Council, Louisville, Ky., October 17, 2006

Speaker, “Kentucky Estate Planning Case Law & Legislative Update,” 33rd Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 14, 2006

Speaker, “Kentucky Fiduciary Law Case & Legislative Update,” 33rd Midwest/Midsouth Estate Planning Institute Pre-Institute Program, Lexington, Ky., July 13, 2006

Panelist, “Issues Impacting the Formation and Administration of Trusts,” Louisville Bar Association, Louisville, Ky., May 23, 2006 & March 28, 2006

Speaker, “Current Issues in Fiduciary Duties and Trust Administration,” The Louis D. Brandeis School of Law’s Ninth Annual Estate Planning Institute, Louisville, Ky., April 28, 2006

Author, “Sophisticated Giving,” *Sophisticated Living*, March/April 2006

Speaker, “Wealth Management Insights,” Stock Yards Bank 2006 Economic Seminar, Louisville, Ky., March 8, 2006

Panelist, “Caring for Your Horses When You Can No Longer Care for Them,” American Morgan Horse Association Annual Convention, Lexington, Ky., February 17, 2006

Speaker, “The Indiana, Kentucky, and Uniform Principal and Income Acts,” Southern Indiana Estate Planning Council, Jeffersonville, In., February 14, 2006

Speaker, "The Probate Process from Start to Finish in Kentucky," National Business Institute, Louisville, Ky., February 10, 2006

2005

Author, “’Tis the Season for Giving,” *The Louisville Zoo Trunkline*, Winter 2005

Panelist, “Planned Giving 101,” Council for the Advancement and Support of Education Kentucky Conference, Louisville, Ky., December 8, 2005

Panelist, “*Strangi* and Its Progeny: Five Cases,” Estate Planning Council of Louisville, Louisville, Ky., November 17, 2005

Speaker, “Estate Issues for Funeral Home Directors,” Funeral Directors Association of the Falls City, Louisville, Ky., November 8, 2005

Speaker, “Jim’s Quick & Easy Plan to Kick Up Your Planned Giving Program,” Louisville Olmsted Parks Conservancy, Louisville, Ky., November 3, 2005

Panelist, “Trusts in Business Succession,” Harding, Shymanski & Co., P.S.C. Industry Conference, Louisville, Ky., November 2, 2005

Speaker, “Current Fiduciary Issues: Drafting and Administration,” Estate and Tax Seminar Sponsored by Stock Yards Bank & Trust Co., Jeffersonville, In., October 27, 2005

Speaker, “Charitable Gifts,” Breckenridge Memorial Hospital Foundation, Hardinsburg, Ky., August 17, 2005

Speaker, “Living Wills, Wills and Trusts, and Charitable Giving,” Trinity Presbyterian Church, Louisville, Ky., July 25-27, 2005

Speaker, "Kentucky Estate Planning & Administration Case Law Update," 32nd Annual Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 22, 2005

Co-Author, "Income Tax Reimbursement Clauses in Irrevocable Grantor Trusts—When to Use Them and When Not To," *Probate & Property*, May/June 2005

Speaker, “The Implications of Circular 230 for Lawyers, Trust Officers, and Other Financial Services Professionals,” Louisville Bar Association, Louisville, Ky., May 24, 2005

Moderator, "Roundtable on Post-Mortem Administration of Business Interests," 2005 ABA RPPT Symposia, Washington, D.C., April 29, 2005

Panelist, "2004-2005 Developments on Family Limited Partnerships, S Corporations Reform, and Closely Held Businesses," 2005 ABA RPPT Symposia, Washington, D.C., April 28, 2005

2004

Speaker, "Oddities and Challenges in Kentucky Probate Law," National Business Institute, Louisville, Ky., August 25, 2004

Author, "House Bill 517—Kentucky Principal and Income Act, 2004 Session in Summary: Banking Laws from the Regular Session of the Kentucky General Assembly," Kentucky Bankers Association, July 2004

Speaker "A Review of Significant, Though Largely Unpublished, Kentucky Probate & Estate Cases Between January 2003 and February 2004," KBA Convention, Lexington, Ky., June 25, 2004

Panelist, "The Final Regulations under IRC § 643 and the New Kentucky Principal and Income Act," Louisville Bar Association, Louisville, Ky., June 14, 2004

Speaker, "The Use of Trusts in Estate Planning for Kentucky Residents," Lorman Education Services, Louisville, Ky., May 19, 2004; May 16, 2003

Speaker, "Wills, Trusts and Powers of Attorney for You and Your Family," Legal Secretaries of Louisville, Louisville, Ky., March 16, 2004

Speaker, "Use of Charitable Remainder and Charitable Lead Trusts," Center for Higher Education Law, Louisville, Ky., February 20, 2004

Panelist, "Gift Planning with Retirement Assets," Center for Higher Education Law, Louisville, Ky., February 20, 2004

2003

Speaker, "Have You Made A Will: What to Think About Before You Sit Down with a Lawyer," Firm Client, Louisville, Ky., November 17, 2003

Speaker, "Kentucky Estate Planning and Drafting Fundamentals," National Business Institute, Louisville, Ky., October 30, 2003

Speaker, "Probate and Estate Planning Update for the General Practitioner," KBA Kentucky Law Update, Louisville, Ky., September 25, 2003; Lexington, Ky., October 17, 2003

Author, "The Soft Skills of An Estate Planning Practice," *LBA Bar Briefs*, September 2003

Speaker, "Planned Giving in a Time of Low AFRs," Center for Higher Education Law, Nashville, Tenn., September 19, 2003

Speaker, "Charitable and Planned Giving," Easter Seals of Louisville, Louisville, Ky., June 26, 2003

Panelist, "Working with Professional Advisors: Dos and Don'ts," Fundraising Executives of Metro Louisville, Louisville, Ky., March 11, 2003

Speaker, "Advance Directives," Hardin Memorial Hospital Medical Staff, Elizabethtown, Ky., March 3, 2003

2002

Speaker, “The Death of the ‘New’ Death Tax,” KBA Convention, Covington, Ky., June 12, 2002

Speaker, “Estate Planning Strategies After the Economic Growth and Tax Relief Reconciliation Act of 2001,” The Louis D. Brandeis School of Law’s Fifth Annual Estate Planning Institute, Louisville, Ky., April 26, 2002

Speaker, “Estate Planning Strategies After The 2001 Tax Act,” Louisville Estate Planning Council, Louisville, Ky., April 16, 2002

Speaker, “Financial Planning Strategies in Light of Tax Law Changes,” Kentuckiana Chapter of the Financial Planning Association, Louisville, Ky., January 16, 2002

2001

Author, “A Search for Certainty in an Uncertain Era: Estate Planning in the Wake of H.R. 1836,” *LBA Bar Briefs*, September 2001

Co-Author, “Asset Protection in a Divorce Context,” 28th Annual Midwest/Midsouth Estate Planning Institute, Lexington, Ky., July 13, 2001

Speaker, “QDROs and Other Issues Related to Employee Benefit and Retirement Plans,” Louisville Bar Association, Louisville, Ky., May 23, 2001